

ESTATES – ANTI-MONEY LAUNDERING CHECKLIST

- Full Name of the deceased**
- Date of Birth of the deceased**
- Address of record for the estate**
- Country of Residence of the deceased**
- Social Insurance Number and death certificate for the client**
- A copy of a valid will (signed and witnessed), showing the name(s) of the executor(s) or trustee authorized to act and sign on behalf of the estate.**
- Proof of ID for all parties – 2 pieces, photo ID preferred, viewed in person**
 - Valid government issued ID**
 - For a foreign resident, a valid passport from the country of citizenship or residence must be provided**
 - record of the type of ID**
 - the identification number of ID**
 - place of issue for ID**
 - acceptable ID per MCAN policy**
- Occupation(s) of all parties (occupation should be specific)**
- Signature of executor(s) or trustee (unless written authorization has been given to the agent to accept verbal instructions)**
- Name on the cheque matches the name on the application exactly**
- PEFP question (confirm correct box checked on all documentation)**
- Evidence of a Third Party determination (confirm correct box checked on all documentation)**
- ___ Third Party Declaration, if applicable**
- Source of funds** (MCAN is required to determine the source of funds used for the purchase of a term deposit. Clients are expected to provide evidence of the origin of the funds i.e.: savings, investment maturity, etc.)

COMMENTS:

Please be advised that we will return your investment if the above documents are not received within 5 business days from fax date notification sent to your office.